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PTO/SB/21 (09-04)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

27

Application Number

09/261,621

Filing Date

03/03/1999

First Named Inventor

Uresh K. Vahalia

Art Unit

2154

Examiner Name

Dustin Nguyen

Attorney Docket Number

EMCR:039/AUC

**ENCLOSURES (Check all that apply)**☐

Fee Transmittal Form

☐

Fee Attached

☒

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☐

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐Reply to Missing Parts/  
Incomplete Application☐Reply to Missing Parts  
under 37 CFR 1.52 or 1.53☒

Drawing(s) 22 sheets

☐

Licensing-related Papers

☐

Petition

☐Petition to Convert to a  
Provisional Application☐

Power of Attorney, Revocation

☐

Change of Correspondence Address

☐

Terminal Disclaimer

☐

Request for Refund

☐

CD, Number of CD(s) \_\_\_\_\_

☐ Landscape Table on CD

Remarks

☐

After Allowance Communication to TC

☐Appeal Communication to Board  
of Appeals and Interferences☐Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)☐

Proprietary Information

☐

Status Letter

☒Other Enclosure(s) (please identify  
below):Copy of submission of formal drawings  
filed Sept. 6, 2001, and return post card  
from Sep. 6, 2001**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

Novak Druce &amp; Quigg, LLP

Signature

Printed name

Richard C. Auchterlonie

Date

20 April 2005

Reg. No.

30,607

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Express Mail No. ER 632109650 US

Signature

Typed or printed name

Richard C. Auchterlonie, Reg. 30,607

Date

20 April 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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EXPRESS MAIL MAILING LABEL NO. ER 632109650 US

**PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Uresh K. Vahalia & Percy Tzelnic

Serial No.: 09/261,621

Filed: March 3, 1999

For: File Server System Providing Direct Data  
Sharing Between Clients With A Server  
Acting As An Arbiter and Coordinator

Group Art Unit: 2156

Examiner: Dustin Nguyen

Atty. Dkt. No.: EMCR:039/AUC

Allowed: March 15, 2005

SUBMISSION OF FORMAL DRAWINGS AS "REPLACEMENT SHEETS" IN REPLY TO  
THE NOTICE OF ALLOWABILITY

Atten: Official Draftsperson  
Commissioner for Patents  
Alexandria, Virginia 22313-1450

Sir:

In reply to the Notice of Allowability dated March 15, 2005, please find enclosed copies of applicant's formal drawings (22 sheets) originally submitted filed on Sept. 6, 2001 (see the attached copy of applicants' transmittal letter downloaded from PAIR and the attached copy of applicants' return post card). The enclosed formal drawings have been labeled as replacement sheets in the header according to 37 CFR 1.121(d) together with identifying idicia (application serial number and drawing sheet number).

Please note that on March 21, 2005, the undersigned telephoned Examiner Dustin Nguyen and requested that he withdraw the requirement for formal drawings as corrected sheets in view of the applicants' filing of formal drawings on Sept. 6, 2001. During the telephone call, the undersigned also asked why a line was drawn through reference C6, and was told that a line was drawn through reference C6 because no month of publication was cited and the Examiner

could not find a month of the publication in the reference. The undersigned requested the Examiner to initial reference C6 since he looked at it. The undersigned further notes that he did not receive any "Examiner's Statement of Reasons for Allowance" and has been unable to find any such document in PAIR.

Respectfully submitted,



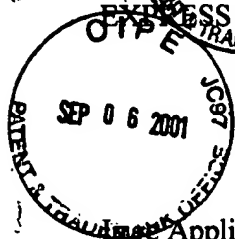
Richard C. Auchterlonie  
Reg. No. 30,607  
Novak Druce & Quigg, LLP  
1000 Louisiana, Suite 5320  
Houston, TX 77002  
713-751-0655

Date: 20 April 2005



#4

EXPRESS MAIL MAILING LABEL NO. EL521272820 US



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Uresh K. Vahalia & Percy Tzelnic

Group Art Unit: 2755

Serial No.: 09/261,621

Examiner: Unknown

Filed: March 3, 1999

Atty. Dkt. No.: EMCR:039/AUC

For: File Server System Providing Direct Data  
Sharing Between Clients With A Server  
Acting As An Arbiter and Coordinator

**SUBMISSION OF FORMAL DRAWINGS**

Attn: Official Draftsperson  
Commissioner for Patents  
Washington, D.C. 20231

Sir or Madam:

Please find enclosed a set of formal drawings including twenty-two (22) sheets.

Respectfully submitted,

Richard C. Auchterlonie  
Reg. No. 30,607  
ARNOLD WHITE & DURKEE  
P.O. Box 4433  
Houston, Texas 77210  
(713) 787-1400

Date 6 Sept 2001



Please indicate receipt of the below-identified paper:

*Auchterlone*

<input type="checkbox"/> New Application For:	Priority Date:
<input type="checkbox"/> Foreign priority already claimed	
<input type="checkbox"/> Continuation	<input type="checkbox"/> CIP
<input type="checkbox"/> Specification: Pages:	<input type="checkbox"/> Divisional CPA
<input type="checkbox"/> Response to Office Action: Date:	<input checked="" type="checkbox"/> Drawings: 22 Sheets
<input checked="" type="checkbox"/> Other: Transmittal Form (PTO/SB/21); Fee Transmittal for FY 2001 (PTO/SB/17); Preliminary Amendment; Form PTO-1449 (copies of cited references); and 1-Extra Transmittal Letter to Official Draftsperson and Submission of Formal Drawings (including 22 sheets)	<input type="checkbox"/> Final Rejection
<input type="checkbox"/> Assignment Enclosed	<input type="checkbox"/> Cert. of Timely Mailing
	<input checked="" type="checkbox"/> Exp. Mail: EL521272820US

**IDENTIFICATION OF APPLICATION**

Serial No.:	09/261,622
Title:	File Server System Providing Direct Data Sharing Between Clients With A Server Acting As An Arbitrator and Coordinator
Applicant:	Uresh K. Vahalia & Percy Tzelnic
Client:	EMC Corporation
Mailed:	09/06/01
Filed:	
Due Date:	